



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/842,547	04/26/2001	Michael A. Adams	PTQ-0031

26259  
LICATA & TYRRELL P.C.  
66 E. MAIN STREET  
MARLTON, NJ 08053

CONFIRMATION NO. 7618

## FORMALITIES LETTER



\*OC00000006543717\*

Date Mailed: 09/13/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may,however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a)accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

*Request Woldeyes*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/13/2001 13:54:00

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Adams et al.

Docket No.

PTQ-0031

Serial No.

09/842,547

Filing Date

April 26, 2001

Examiner

Not yet assigned

Group Art Unit

1614

Invention:

FORMULATIONS AND METHODS OF USING NITRIC OXIDE MIMETICS AGAINST A  
MALIGNANT CELL PHENOTYPE

I hereby certify that the following correspondence:

Response to Notice to File Missing Parts of Application Filing Date Granted (PTO-1533)(Small Entity)

(Identify type of correspondence)

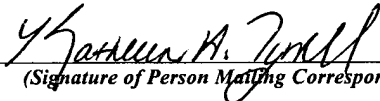
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

September 25, 2001

(Date)

Kathleen A. Tyrrell

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)EL846059432US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

#6

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
PTQ-0031

In Re Application Of: Adams et al.

Serial No.  
09/842,547

Filing Date  
April 26, 2001

Examiner  
Not yet assigned

Group Art Unit  
1614

Invention: **FORMULATIONS AND METHODS OF USING NITRIC OXIDE MIMETICS AGAINST A  
MALIGNANT CELL PHENOTYPE**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

June 25, 2001

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☒ was/were filed on April 26, 2001
- ☐ A separate request for refund.
- ☒ Other (list):

09/28/2001 BABRAHA1 00000050 501619 09842547

01 FC:215 55.00 CH

**Four (4) Sheets of Drawings containing Figures 1-3B.**

Docket No.  
**PTQ-0031**

Serial No.  
09/842,547

Filing Date  
**April 26, 2001**

**Examiner**  
**Not yet assigned**

Group Art Unit  
1614

Attention: Manager, Application Branch

- ☒ Completion of application fees as calculated below:

- |  |       |
|--|-------|
| <input type="checkbox"/> Utility application filing fee  | _____ |
| <input type="checkbox"/> Design application filing fee   | _____ |
| <input type="checkbox"/> Total number of independent claims = _____  | _____ |
| <input type="checkbox"/> Total number of claims = _____  | _____ |
| <input type="checkbox"/> Multiple dependent claims   | _____ |
| <input type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | _____ |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor    | _____ |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification           | _____ |
| <input type="checkbox"/> Fee for processing and retention of application   | _____ |

Total completion of application fees

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☒ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

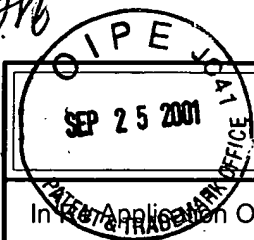
from: August 26, 2001  
Date

until: September 25, 2001  
Date

**Total time extension fees** **\$55.00**

**Total fees due \$55.00**

09/28/01 0300\$



**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
PTQ-0031

In Re: Application Of: Adams et al.

Serial No.  
09/842,547

Filing Date  
April 26, 2001

Examiner  
Not yet assigned

Group Art Unit  
1614

Title:

**FORMULATIONS AND METHODS OF USING NITRIC OXIDE MIMETICS AGAINST A  
MALIGNANT CELL PHENOTYPE**

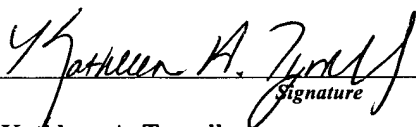
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Response to Notice to File Missing Parts of Application Filing Date Granted (PTO-1533(Small Entity) with Four (4)  
Sheets of Drawings  
Copy of Notice of Incomplete Reply (Nonprovisional) Filing Date Granted  
Certificate of Mailing by "Express Mail" (37 CFR 1.10)  
Return Postcard**

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-1619**  
as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of **\$55.00**
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

**Kathleen A. Tyrrell  
Reg. No. 38,350  
Licata & Tyrrell P.C.  
66 E. Main Street  
Marlton, NJ 08053  
Tel: 856-810-1515  
Fax: 856-810-1454**

Dated: September 25, 2001

I certify that this document and fee is being deposited  
on \_\_\_\_\_ with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

CC:

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
**PTQ-0031**

In Re Application Of: **Adams et al.**

Serial No.  
**09/842,547**

Filing Date  
**April 26, 2001**

Examiner  
**Not yet assigned**

Group Art Unit  
**1614**

Invention: **FORMULATIONS AND METHODS OF USING NITRIC OXIDE MIMETICS AGAINST A  
MALIGNANT CELL PHENOTYPE**

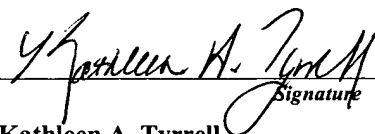


TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$55.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-1619**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-1619**  
A duplicate copy of this sheet is enclosed.

  
Signature

**Kathleen A. Tyrrell  
Reg. No. 38,350  
Licata & Tyrrell P.C.  
66 E. Main Street  
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Tel: 856-810-1515  
Fax: 856-810-1454**

Dated: **September 25, 2001**

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*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

CC: